

1 Quantitative identification of dialect-specific articulatory settings

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5 Abstract

6 The purpose of this study was to quantitatively contrast the articulatory settings of two Dutch dialects. Tongue
7 movement data during speech was collected on site at two high schools (34 speakers) in the Netherlands using a
8 portable electromagnetic articulography device. Comparing the tongue positions during pauses in speech
9 between the two groups revealed a clear difference in the articulatory settings, with significantly more frontal
10 tongue positions for the speakers from Ubbergen in the Southeast of the Netherlands compared to those from Ter
11 Apel in the North of the Netherlands. These results provide quantitative evidence for differences in articulatory
12 settings at the dialect level.

13 I. Introduction

14 Honikman (1964: 1) defined articulatory settings as “the overall arrangement and
15 manoeuvring of the speech organs necessary for the facile accomplishment of natural
16 utterance”. In her article, she noted characteristic articulatory setting differences between the
17 English and French languages, such as the tongue being anchored laterally to the roof for the
18 English speakers, versus anchored centrally to the floor for the French speakers. Even though
19 Honikman (1964) gave this phenomenon the label we use today, much earlier reports of
20 language-specific articulatory settings have been given. For example, Sweet (1890: 74) noted
21 that “[e]very language has certain general tendencies which control its organic movements
22 and positions, constituting its organic basis or basis of articulation”. But as Laver (1978)
23 notes in a historical overview of the concept of articulatory settings, even as early as the 7th

24 century, general language-specific distinctions with respect to articulation have been
25 discerned.

26 The characterizations of Honikman (1964) and others before her have been qualitative
27 in nature, that is, by describing the observed general movements of the articulators. More
28 recently various attempts have been made to identify differences in articulatory settings
29 quantitatively by means of acoustic analysis (see Gick et al., 2004 for an overview).
30 Unfortunately, such an approach is complicated by the inability to separate differences in the
31 articulatory settings from differences in segmental targets. As Laver (1978: 11) notes “no
32 articulatory setting normally applies to every single segment a speaker utters”. As a
33 consequence, various researchers have focused on investigating the existence of language-
34 specific resting positions during pauses in speech utterances (dubbed the “pre-speech posture”
35 by Perkell, 1969) in order to characterize articulatory settings. As it is not possible to
36 accomplish this through acoustic analysis, these studies necessarily investigate the position of
37 the articulators. For this purpose, techniques such as X-ray, ultrasound, electromagnetic
38 articulography (EMA), and real-time magnetic resonance imaging can be used (Mennen et al.,
39 2010; Ramanarayanan et al., 2013).

40 Gick et al. (2004) used X-ray data (with a sample of ten speakers) to show that there
41 were language-specific articulatory settings for English versus French speakers. They found
42 that compared to English, French was characterized by a greater pharynx width, a lower
43 tongue body, a lower tongue tip, a less protruded upper lip, and a more protruded lower lip
44 (although see Wilson, 2013 for a different pattern), but that velum and jaw positions did not
45 differ significantly between the two groups.

46 Using ultrasound imaging, Wilson and Gick (2014) showed that bilinguals have
47 distinct articulatory settings for their two languages (French and English) if they are perceived

48 as having native fluency in both languages. In their sample of eight bilingual speakers, four
49 were rated as being native in both of their languages, while for the other four this was not the
50 case. The speakers in the first group generally exhibited articulatory setting differences
51 between their two native languages which were in line with the differences between English
52 native speakers and French native speakers: lower tongue tip height (reported by Gick et al.,
53 2014 and Wilson, 2013) and more lower lip protrusion for French speakers compared to
54 English speakers (reported by Wilson, 2013). The speakers in the second group did not
55 exhibit a similar pattern.

56 Świącieński (2013), in a sample of four speakers, suggested that Polish speakers with a
57 better command of the English language had learned to vary their articulatory settings on the
58 basis of the language they spoke. In his study, the two speakers with the greatest command of
59 the English language showed significant differences in the pre-speech posture (i.e., more
60 frontal and higher tongue position) when speaking English compared to Polish, whereas no
61 significant differences were observed for the two less proficient speakers.

62 While articulatory settings clearly exist, and differ for different languages, some
63 evidence suggests that differences in articulatory settings might also be observed at the dialect
64 level. Knowles (1973) discusses the urban dialect of Liverpool (Scouse) in terms of
65 articulatory settings, and for example mentions on the basis of a qualitative investigation of
66 his own speech that the Scouse dialect is characterized by more velarized speech than
67 Received Pronunciation (pp. 102-111). Recasens (2010) shows in a sample of fifteen speakers
68 using electropalatography (a technique to monitor contact between the tongue and palate) that
69 distinct tongue position differences can be observed between Eastern Catalan and Valencian
70 (with the latter being characterized by more anterior tongue positions). However, to our
71 knowledge, no study has sought to *quantitatively* investigate the existence of distinct
72 articulatory settings by focusing on the position of the articulators during pauses in speech

73 utterances. While Recasens' (2010) study was certainly quantitative, it did not investigate the
74 position of the articulators during pauses. Two studies by Stuart-Smith (1999a, 1999b) did
75 provide a quantification of articulatory settings for different social groups (male/female,
76 old/young) in Glaswegian (one of the results showed that children showed laxer
77 supralaryngeal articulation than adults), but this was based on transcribing voice quality
78 characteristics on the basis of speech, and did not involve articulatory measurements.
79 However, her approach did enable her to identify differences in (supra)laryngeal settings.

80 In this study, we will extend the work on investigating differences in articulatory
81 settings at the dialect level by focusing on the pauses during dialectal speech. We will focus
82 on Dutch dialects, as these have been investigated frequently from a quantitative point of view
83 (see e.g., Heeringa, 2004 and Wieling et al., 2007). This study is also distinctive for the large
84 number of speakers included (more than thirty).

85 **II. Articulatory data collection**

86 For our study, articulatory data was collected on site at two high schools in the Netherlands in
87 2013. The two schools ("RSG Ter Apel" in Ter Apel in the North and "Havo Notre Dame des
88 Anges" in Ubbergen located about 150 kilometers further south) are found on opposite sides
89 of a strong dialect border in the Netherlands, distinguishing the Low Saxon dialects in the
90 North from the Central Dutch dialects to the south of the dialect border (see Wieling et al.,
91 2007). Figure 1 shows a map of the Netherlands in which Ter Apel is marked by a 'T',
92 Ubbergen by a 'U' and the approximate dialect border by a dashed line. The reason these
93 specific locations were chosen was that we had access to the students at the high schools in
94 the two locations. While variability in articulatory movement is greater in adolescents
95 compared to adults (but with no difference between males and females; Walsh & Smith,
96 2002), testing at high schools gave us access to a very motivated group of participants.

97 Furthermore, in our analysis (using mixed-effects regression, explained below) we take into
98 account individual speaker variability. Finally, the presence of more variability lowers the
99 probability of discovering differences between groups, and as a consequence our analysis
100 becomes more conservative. At both schools data was collected during a single week. A total
101 of 19 high school students (age at testing between 13 and 18, average year of birth 1996, two
102 females, 17 males) participated in Ubbergen, while 15 high school students participated in Ter
103 Apel (six females, nine males, average year of birth of 1996).¹

104 We collected kinematic data from sensors attached to the speech articulators using a
105 portable 16-channel EMA device (Wave, Northern Digital Inc.) at a sampling rate of 100 Hz,
106 automatically synchronized to the audio signal (recorded at 22.05 kHz using an Oktava
107 MK012 microphone). Head-correction was performed using the NDI Wavefront software on
108 the basis of a single 6DOF reference sensor attached to the forehead. Each data collection
109 session lasted about fifty minutes and participants gave consent and received monetary
110 compensation for their participation. Participants were informed beforehand about the nature
111 of the experiment. If they were younger than 18, their parents also had to sign the consent
112 form. Participants were selected only if they spoke the local dialect, which was assessed by
113 the first author before the experiment began. For this purpose, participants had to name
114 images presented on a computer screen in their local dialect. Their response was compared to
115 the expected dialect pronunciations (which were compiled beforehand by an expert on Dutch
116 dialectology, Dr. W.J. Heeringa). If the pronunciation of the participant deviated too much
117 from the expected pronunciation, that speaker was not subsequently included.

118 For the purpose of this study, we focus on the three tongue sensors which were
119 attached midsagittally to the tongue of each participant. The sensors were glued to the tongue
120 with PeriAcryl 90 HV dental glue. One sensor (T3) was glued as far back as possible on the
121 tongue without causing the speaker discomfort. The other sensor (T1) was glued

122 approximately 0.5 cm behind the tongue tip. The third sensor (T2) was glued midway
123 between the other two sensors. If sensors came off during the experiment, they were
124 reattached at their original position on the tongue. Due to the purple color of the glue, this
125 position was generally clearly visible. In order to obtain a comparable coordinate system
126 across speakers, a biteplate recording (containing three sensors) was used to rotate the
127 coordinates of each sensor relative to the occlusal plane (Hoole & Zierdt, 2010; Yunusova et
128 al., 2009).

129 The experiment consisted of first naming 70 images (e.g., the image of a sheep,
130 pronounced by the participant as an individual word: “sheep”) in their local dialect, and
131 subsequently reading 27 CVC sequences (C: /t,k,p/, V: /a,i,o/) from a computer screen in
132 standard Dutch (see Wieling et al., 2015). Both parts were repeated twice, and the items
133 within each repetition were ordered randomly. The dialectal material was chosen in such a
134 way that a broad overview of Dutch dialect variation was obtained. The CVC sequences
135 contained the /t/, /k/ and /p/ in order to assess movement of the tongue (tip and back) and lips.

136



137

138 **Figure 1.** Map of the Netherlands indicating the two data collection sites, Ter Apel ('T') and Ubbergen ('U').
139 The dashed line indicates the approximate location of the dialect border.

140 **III. Articulatory data preprocessing**

141 The (rotated and head-corrected) positions of the tongue sensors were normalized along both
142 the inferior-superior and anterior-posterior axes in such a way that 0 indicated the most
143 inferior (or anterior) position for each sensor, and 1 the most superior (or posterior) position
144 for each sensor.² Subsequently, the data for each speaker were manually segmented in
145 PRAAT (Boersma & Weenink, 2015) on the basis of the acoustic signal. Segmentation was
146 conducted both at the segment level as well as at the word level. For the purpose of this study,
147 we only used the word-level segmentation. As the material consisted of the pronunciation of
148 separate, individual words, segmentation at the word-level segmentation was relatively
149 straightforward.

150 Based on this segmentation, we extracted the articulatory positions associated with the
151 pauses in between the word pronunciations. To be sure that we only extracted positions
152 associated with a true pause, we only considered pauses with a duration of at most 1.5

153 seconds (longer pauses frequently contained tongue movement associated with swallowing,
154 yawning, or it contained a mispronunciation of a word). There was no lower limit, as due to
155 the setup of the experiment there always was a pause between two succeeding pronounced
156 words. From these pauses, we extracted the articulatory positions over an interval between
157 0.75 seconds and 0.25 seconds before the start of each pronounced word. If the time between
158 two consecutive words was less than 1 second, the extracted portion of the pause extended
159 from 0.25 seconds after the end of the first word to 0.25 seconds before the start of the second
160 word. If the time between two consecutive words was less than 0.5 seconds, the pause was
161 ignored. The 0.25 second gaps were used as the segmentation was done acoustically and
162 residual articulatory movement can still be present close to the acoustic start or end of a word.
163 Consequently the extracted portion of the pause was at most 0.5 seconds (when the time
164 between two consecutive words ranged between 1 and 1.5 seconds), but could be of shorter
165 duration as well (when the time between two consecutive words was less than 1 second).
166 Note, however, that results remained similar if the extracted portion of the pause was not
167 limited to at most 0.5 seconds, but always ranged from 0.25 seconds after the end of the first
168 word to 0.25 seconds before the start of the second word. The median extracted pause
169 duration was 0.36 seconds (i.e. about 36 measurement points, as the sampling rate was 100
170 Hz) with an inter-quartile range of 0.24 seconds. About 35% of the pauses had the maximum
171 duration of 0.5 seconds. For each individual pause, the median position for each sensor (T1,
172 T2 and T3) and axis (inferior-superior: z -axis and anterior-posterior: x -axis) over the pause
173 interval was calculated.

174 **IV. Analysis**

175 Our data contains normalized sensor positions in two dimensions for three tongue sensors
176 during approximately 200 pauses per participant, and accordingly we analyzed the data using

177 mixed-effects regression. By using this approach, we are able to take into account the
178 structural variability associated with each individual speaker. For example, the positions of
179 the sensors during the individual pauses were relatively similar for each individual speaker
180 (the average inter-quartile range of the resting positions was 2.6 mm). By using random
181 intercepts (some speakers may have a more frontal pre-speech posture than others) and
182 random slopes (some speakers may show a different pre-speech posture for dialectal vs.
183 standard speech, while others do not), we were able to model the variability associated with
184 each individual, thereby reducing the risk of being overconfident (i.e., reporting p -values
185 which are too low). An overview of the merits of mixed-effects regression is given by Baayen
186 et al. (2008). We only included random intercepts and random slopes whenever model
187 comparison using the Akaike Information Criterion (AIC; Akaike, 1974) indicated that the
188 additional complexity was warranted (i.e., resulting in a lower AIC value of at least 2;
189 following the approach of Wieling et al., 2014).

190 **V. Results**

191 We fitted two separate mixed-effects regression models, one for each axis, with as dependent
192 variable the normalized position for each of the three sensors. The model fit on the basis of
193 the inferior-superior position did not show a tongue height difference between the two groups,
194 neither with nor without taking into account (the interaction with) the type of speech (all $|t|$'s
195 < 1.3 , p 's $> .19$). For completeness, Table 1 shows the fixed effects of this full model
196 including the interaction. The random-effects structure consisted of random intercepts for
197 speaker and pause (i.e. linked to the following word), and random slopes for the group
198 differences (Ubbergen versus Ter Apel) per pause and the type of speech (dialect versus
199 standard Dutch CVC sequences per speaker).

200 The effect size (Ω_0^2 , similar to R^2 ; Xu, 2003) of this full model was 0.25, and the
201 residuals approximately followed a normal distribution. Figure 2 (left) visualizes the non-
202 significant difference between the two groups. In contrast, the model fit on the basis of the
203 anterior-posterior position of the tongue sensors showed a clear significant (fixed effect)
204 difference with respect to the tongue frontness between the two groups ($t = -4.3, p < .001$).
205 The speakers from Ubbergen had more frontal tongue sensor positions than the speakers from
206 Ter Apel in the North of the Netherlands (the size of this effect is 9 percent of the total range
207 of the sensors). The random-effects structure of this model was identical to the
208 aforementioned model focusing on the inferior-superior position. The effect size (Ω_0^2) of this
209 optimal model was 0.37, and the residuals approximately followed a normal distribution.
210 There was no significant effect (nor any significant interaction) with the type of speech. Table
211 2 shows the model summary of the best model (i.e., including only the significant group
212 difference). Figure 2 (right) visualizes the significant difference between the two groups.³

213

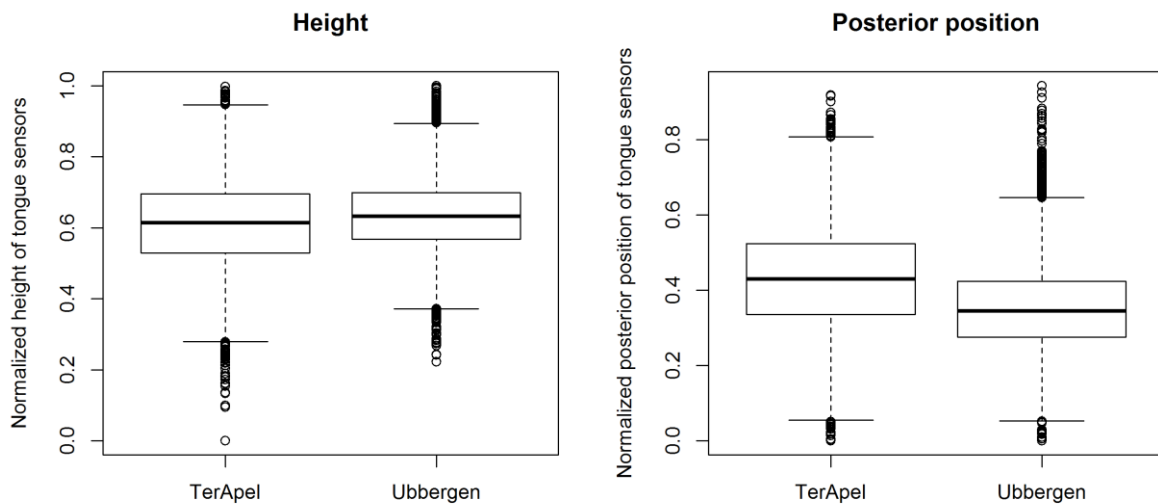
Predictor	Estimate	Std. Error	<i>t</i> -value	<i>p</i> -value
(Intercept)	0.605	0.015	39.47	< .001
Ubbergen vs. Ter Apel	0.026	0.020	1.29	.19
Standard vs. Dialect (Ter Apel)	0.012	0.013	0.91	.36
Standard vs. Dialect (Ubbergen)	0.008	0.012	0.66	.51

214 **Table 1.** Mixed-effects regression model for the inferior-superior (*z*) axis. No significant difference between the two dialect
215 groups was observed. Only fixed effects are shown; see text for the random-effects specification.

216

Predictor	Estimate	Std. Error	<i>t</i> -value	<i>p</i> -value
(Intercept)	0.438	0.016	27.54	< .001
Ubbergen vs. Ter Apel	-0.090	0.021	-4.26	< .001

217 **Table 2.** Mixed-effects regression model for the anterior-posterior (*x*) axis. The difference between the two groups was
 218 significant. Only fixed effects are shown; see text for the random-effects specification.



219
 220 **Figure 2.** Visualization of the non-significant height difference (left) and the significant posterior position
 221 difference between the two groups (right). Larger *y*-values indicate higher (left) or more posterior (right)
 222 normalized tongue sensor positions.

223 VI. Discussion

224 The quantitative results obtained in this study suggest a distinct pre-speech tongue posture
 225 difference between two Dutch dialects, which is present both when the speakers speak in their
 226 local dialect and when they speak (accented) standard Dutch. The Low-Saxon dialect from
 227 Ter Apel in the North of the Netherlands seems to be characterized by a tongue position
 228 which is located further back in the mouth than that of the Central Dutch dialect of Ubbergen.
 229 Various studies have quantified differences in articulatory settings between different
 230 languages, but this is – to our knowledge – the first study which has done the same for

231 different dialects of the same language on the basis of the tongue position during pauses in
232 speech.

233 While no previous studies have investigated articulatory settings in Dutch dialects, a
234 few studies have investigated variation in the Dutch language using articulatory
235 measurements. For example, Scobbie and Sebregts (2010) investigated variation in Dutch /r/
236 using ultrasound recordings. However, as they only included five speakers, their results
237 remained rather qualitative. Furthermore, a single-segment study is unsuitable to shed light on
238 differences in articulatory settings, as differences in articulation of the specific segment and
239 articulatory setting differences cannot be distinguished. Interestingly, Wieling et al. (2015;
240 forthcoming) conducted an articulatory analysis of the tongue movement data associated with
241 the word pronunciations (as opposed to the data associated with the pauses analyzed in this
242 study) of the experiment explained above, and found a similar pattern as reported in the
243 present study, with a more posterior tongue position for the speakers from Ter Apel compared
244 to those from Ubbergen. This suggests that articulatory setting differences may also be
245 observed when analyzing a sizeable amount of variable speech data (i.e., not only focusing on
246 a single segment).

247 Adank et al. (2007) investigated regional Dutch variation from an acoustic perspective,
248 focusing on formant measurements of the vowels. While there certainly is no one-to-one
249 correspondence between formants and tongue position, tongue positions and formant
250 frequencies do correlate (Lee et al., 2016). As Adank et al. (2007) did not identify a clear first
251 or second formant difference between the speakers from the North versus those from the
252 Central Dutch area, we might have expected the absence of an articulatory difference between
253 the two groups as well. However, using formant measurements is less sensitive than using
254 tongue position information, and also restricts the analysis to vowels. Consequently, it is
255 unclear to what extent our results would be expected to match those of Adank et al. (2007).

256 Of course our study is not without its limitations. First of all, only two dialects were
257 investigated, and it is not clear in what way the difference in articulatory settings can be
258 generalized to other dialects in the Netherlands. In future work, we will investigate if the
259 pattern indeed holds for other dialects in the same regions in the Netherlands, and if other
260 patterns may be identified as well. Second, while we have not found a clear difference in
261 articulatory settings between the two types of speech (dialect vs. standard), this might have
262 been caused by the characteristics of the speech stimuli (naming images vs. reading specific
263 CVC sequences).

264 Another limitation is methodological. Even though we have attempted to ensure that
265 we only included real pauses in our data, it is possible that the data we included might have
266 contained tongue movement due to, for example, swallowing or articulatory movements
267 associated with the pronunciation of the preceding or subsequent words. As a consequence,
268 we have attempted to alleviate these potential problems by taking the median of the positions
269 during the pauses, and by including all pauses separately in the analysis, rather than averaging
270 them.

271 In conclusion, our study has provided quantitative evidence for differences in the
272 articulatory settings between two dialects of the same language. The existence of such
273 differences at the dialect level is in line with characterizations of dialects in terms of
274 articulatory settings by (e.g.,) Knowles (1973) and Stuart-Smith (1999).

¹ While the asymmetry in the gender distribution across the two groups might be problematic, the results were similar when only male speakers were included in the analysis.

² Note that other normalization choices could have been made. However, two alternative normalization procedures showed a similar pattern of the results, and consequently our results seem relatively independent of the choice of normalization procedure. The first alternative was to normalize all three tongue sensors simultaneously, with 0 indicating the most anterior position of all three tongue sensors and 1 indicating the most

posterior position of all three tongue sensors. The second normalization procedure was similar to the method used currently, but additionally took into account the non-speech resting position (i.e. the position of the tongue recorded when the participants were asked to keep their mouth closed). Using this system, negative values indicated more anterior (or lower) positions of the sensor compared to its non-speech resting position, whereas positive values indicated more posterior (or higher) positions of the sensor compared to its non-speech resting position.

³ As indicated earlier, the results using a different normalization procedure were generally quite similar, with significantly more posterior positions (and no difference in height) of the tongue sensors for the speakers from Ter Apel compared to those from Ubbergen. The only difference was that when normalizing all three tongue sensors simultaneously, there appeared to be a significant interaction with the type of speech (with the group difference being significantly larger for the CVC sequences). However, this interaction was not observed using the two other normalization procedures.

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